

# Trans-Himalayan \*g- and \*sr-

*Nathan W. Hill*

1. **Trans-Himalayan \*g- versus \*j-**. Axel Schuessler (2015: 590) argues, in his review of Baxter & Sagart's *Old Chinese: a new reconstruction* (2014), that where Baxter & Sagart reconstruct \*g- the following examples suggest Old Chinese \*j-.<sup>1</sup>

Chi. 羊 *yang* < \*gaŋ 'sheep' (03-39a), Tib. གཡམ་དྲཱུག་ *g.yaŋ-dkar*, Japhug Rgy. *qazo* < \*(qa-)jaŋ, Zbu Rgy. *ɣ-iv?*

Chi. 祥 *zjang* < \*sgaŋ (03-39n) 'auspicious', Tib. གཡམ་ *g.yaŋ*

Chi. 癢 *yangX* < \*gaŋʔ (03-39r) 'itch', Tib. གཡམ་ *g.yaŋ*, Japhug Rgy. *ryza* < \*(re)ja

In a reply to Schuessler's review, Baxter & Sagart instead propose that Tib. *g.y-* is a regular reflex of \*g- and, by implication, that their proposal is superior by virtue of taking account of the velar initial in the relevant Tibetan words.<sup>2</sup>

1a. There is a counterexample, in which Tib. *g.y-* corresponds to a Chinese velar rather than a Chinese uvular.

Chi. 軍 *kjun* < \*[k]<sup>w</sup>ər (34-13a) 'army', Tib. གཡུལ་ *g.yul* 'army, battle'

In another counterexample Tib. *g.y-* corresponds to Chi. \*m-q<sup>w</sup>- or \*G<sup>w</sup>- in Baxter & Sagart's reconstruction.

Chi. 右 *hjuwH* < \*m-q<sup>w</sup>əʔ-s ~ \*m-q<sup>w</sup>əʔ, *hjuwX* < \*[G]<sup>w</sup>əʔ (04-17i) 'right hand', Tib. གཡས་ *g.yas* < \*gyas 'right', Bur. ཡོད་ *yā*

1b. The correspondence of Tib. *g.y-* to Chi. \*G<sup>w</sup>- (or \*m-q<sup>w</sup>- > \*G<sup>w</sup>-) may not at first sight appear exceptional to Tib. *g.y-* : Chi. \*g-. But, if we read further in their reply, it turns out that in their defence of \*G<sup>w</sup>- as the origin of Middle Chinese 云 *hj-*, as opposed to Schuessler's \*w-, they propose Tib. *g-* (not *g.y-*) : Chi. \*G<sup>w</sup>-.

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1 Jacques (2013: 295 note 6) two years earlier offered the same proposal as Schuessler. As a fourth example in favour of \*j- Schuessler (2015: 590) also points to Chi. 洋 *yang* < \*gaŋ (03-39h) 'vast', Tib. ཡར་སེལ་ *yaŋs-po*. Baxter & Sagart instead suggest that the Chinese cognate of Tib. ཡར་སེལ་ *yaŋs-po* is “a Chinese root beginning in a glottal stop, not a uvular: \*ʔ(ʰ)aŋ 'broad, full, ample, swollen', which includes àng 盎 and yāng 泂” (2017: 573). They do not offer reconstructions for these words, but presumably intend 盎 *angH* < \*ʔaŋ (03-20i) 'fill to overflow' and 泂 *jang* < \*ʔʰaŋ (03-20g) 'great, expansive'.

2 Baxter & Sagart preface their statement of this correspondence with the caveat “[r]egardless of what *g.y-* may have stood for phonetically” (2017: 571), implying unawareness of the relevant literature. See Hill 2012.

Chi. 于 *hju* < \*G<sup>w</sup>(r)a (01-23a) 'go', Tib. འགོ *hgro* < \*hg<sup>w</sup>ra (Laufer's law) < \*hg<sup>w</sup>ra

Chi. 芋 *hjuH* < \*[G]<sup>w</sup>(r)as (01-23o) 'taro', Tib. གོ་མ་ *gro-ma* < \*g<sup>w</sup>ra (Laufer's law) < \*G<sup>w</sup>ra  
'potentilla anserina', WBur. འདུ་ *wa* < \*Q<sup>w</sup>a 'elephant foot yam'

Chi. 回 *hwan* < \*[G]<sup>w</sup>ar (25-12a) 'turn around', Tib. སྐོར་མོ་ *sgor-mo* < \*sg<sup>w</sup>ar (Laufer's law)  
< \*sg<sup>w</sup>ar 'round', WBur. འདུ་ *wanh* < \*Q<sup>w</sup>anh

Additional cognates also support this same correspondence.

Chi. 桦 *hwaeH* < \*[G]<sup>w</sup>ras (01-27-) 'birch', Tib. གོ་ག་ *gro-ga* < \*g<sup>w</sup>ra (Laufer's law) < \*G<sup>w</sup>ra  
'birch bark'

Chi. 友 *hjuwX* < \*[G]<sup>w</sup>ə? (04-17e) 'friend', Tib. གོ་ག་ *grogs* < \*g<sup>w</sup>rag (Laufer's law) <  
\*G<sup>w</sup>rag 'friend'

Chi. 胃 *hwijH* < \*[G]<sup>w</sup>ə[t]s (31-05a) 'stomach', Tib. གོ་དྲོད་ *grod* < \*g<sup>w</sup>rad (Laufer's law) <  
\*G<sup>w</sup>rad

Chi. 違 *hwij* < \*[G]<sup>w</sup>ə[j] (28-05d) 'go against', Tib. འགོ་ལ་ *hgol* < \*g<sup>w</sup>ral (Laufer's law) <  
\*G<sup>w</sup>ral 'part, deviate'

Chi. 羽 *hjuX* < \*[G]<sup>w</sup>(r)a? (01-24a) 'feather', Tib. སྐོར་ *sgro* < \*sg<sup>w</sup>ra (Laufer's law) < \*sg<sup>w</sup>ra

1c. Baxter and Sagart offer their own two counterexamples to Tib. g.y- : Chi. \*G-, in which Tibetan instead has g-. They offer no reason for the divergent Tibetan outcomes g- and g.y-.

Chi. 胤 *yinH* < \*[G]ə[n]-s (GSR???) 'small of the back', Tib. སྐོར་པ་ *sgal-pa*

Chi. 羨 *zjaenH* < \*s.gar (03-39-) 'to pass, go beyond', Tib. གོ་ལ་ *rgal* 'to step, pass or climb  
over'

I am able to offer a further cognate in support of the same correspondence.

Chi. 洽 *heap* < \*[G]<sup>r</sup>[o]p (37-01m) 'accord with', Tib. འགྲུབ་ *hgrub* < \*hg<sup>r</sup>ub 'accomplish,  
achieve'

1d. Overall, the evidence points against Schuessler's \*w-, but the evidence against his \*j- is weaker; the outcomes Tib. g- versus g.y- are not predicted by Baxter & Sagart's Old Chinese.

## 2. Development of Trans-Himalayan \*sr-.

We reconstruct *sān* 三 “three” as \*s.rum based in part on phonetic *róng* 𠄎 \*N.rum in the old alternative graph *cān* 參. Schuessler sees no trace of a rhotic in TB “three” and concludes that we must be wrong about OC. What needs to be explained is the absence of \*r in TB. Handel (2002: 13) proposed that PST \*sr- evolved to PTB \*s- preceding nonfront vowels, for instance in *shā* 沙 \*s<sup>r</sup>raj “sand”: WT *sa* “earth”; compare the front-vowel word *shī* 虱 \*srik “louse”: Lushai [Mizo] *hrik*, Japhug rGyalrong *zruy* (Jacques 2015). “Three” is an additional example of the TB \*sr- > s- change. (Baxter

Handel offers an explanation for the correspondence of \*sr- in Chinese to Tib. s- (or ś-) seen in the following examples, namely that Chinese maintains inherited \*sr-, which Tibetan (and all languages of the family except Chinese) simplify to s- before vowels other than inherited \*-e- and \*-i- (2009: 201-209).<sup>3</sup>

Chi. 蝨 *srit* < \*sri[k] (29-35a) 'louse', Tib. ཤིག་ *śig*

Chi. 殺 *sreat* < \*srat (21-29d) 'kill', Tib. √sad (pres. གསོད་ *gsod*), Bur. འཇོག་ *sat* < \*<sup>?</sup>sat,  
Lashi <sup>?</sup>*sa:tH*

Chi. 三 *sam* < \*srum (38-30a) 'three', Tib. གསུམ་ *gsum*, Bur. འདྲེ་ *sumḥ* < \*sumḥ, Lashi *səmH*

Chi. 沙 *srae* < \*s<sup>r</sup>raj (18-15a) 'sand', Tib. ས་ *sa* 'earth', Bur. འདེ་ *sai* 'sand'

Chi. 殺 *sreat* < \*srat (21-29d) 'kill', Tib. √sad (pres. གསོད་ *gsod*), Bur. འཇོག་ *sat* < \*<sup>?</sup>sat,  
Lashi <sup>?</sup>*sa:tH*

Chi. 沙 *srae* < \*s<sup>r</sup>raj (18-15a) 'sand', Tib. ས་ *sa* 'earth', Bur. འདེ་ *sai* 'sand'

Chi. 蝨 *srit* < \*sri[k] (29-35a) 'louse', Tib. ཤིག་ *śig*

Chi. 甥 *sraeng* < \*s.rej (09-25g) 'son-in-law', Tib. སྲིང་མོ་ *sriṅ-mo* 'sister of a man'

Chi. 產 *sreanX* < \*s-ŋrar? (34-46a) 'produce', Tib. སྲེལ་ *srel* 'rear, bring up'

Chi. 漱 *sraewk* < \*s<sup>r</sup>rok (11-21o) 'suck, inhale', WBur. འཇོག་ *sok* < \*śuk 'drink', Lashi  
*śu:kH*

To these one can further offer the following comparisons:

Chi. 三 *sam* < \*srum (38-30a) 'three', Tib. གསུམ་ *gsum*, Bur. འདྲེ་ *sumḥ* < \*sumḥ, Lashi *səmH*

Chi. 獭 *that* < \*r<sup>?</sup>at (21-24i) 'otter', Tib. སྲམ་ *sram*, Bur. ཕྱུ་ *phyam*, Lashi <sup>?</sup>*sam*

The five examples 'kill', 'sand', 'three', 'son-in-law', and 'produce' conform to the pattern Handel describes. He acknowledges that 'louse' contradicts his hypothesis, finding it best to “attribute the variation in Tibetan to an unknown cause” (2009: 209) and criticizing Benedict (1972: 108 n. 304) for setting up \*śr- and \*sr- on the sole basis of the two outcomes \*śr- > ś- and \*sr- > sr- in Tibetan. The same criticism applies to Jacques' reconstructions of Tib. ś- < \*sr- versus sr- < \*sə.r- to explain the same two examples (2015: 217). The different reconstructed initials \*sr- versus \*s.r- in Baxter & Sagart's system implies an explanation similar to Jacques'. For 'otter' Handel resolves the obstacle of sr- in Tibetan appearing before -a- by reconstructing \*s-ram 'otter' rather than \*sram; for him there is “no apparent cognate in Chinese” (2009: 202 note 20). This \*sr- versus \*s-

3 I omit a few of Handel's weaker cognate proposals. Their weaknesses are discussed in my forthcoming book.

r- notational slight of hand, exactly akin to the tack of Benedict that Handel rejects, has no descriptive or explanatory power.

2c. Jacques rejects Handel's explanation of the development of \*sr-. First, he objects to the comparison of Chinese 產 *sreanX* < \*s-ŋrar? (34-46a) 'produce' to Tib. སྲེལ་ *srel* 'rear, bring up' (2015: 216 note 2 on p. 221), but in so doing puts undue weight on Baxter & Sagart's reconstruction. Handel reconstructs \*s<sup>f</sup>ren? (2009: 200, 204) and Schuessler \*s<sup>f</sup>ran? / \*s<sup>f</sup>ren? (2009: 291). Second, Jacques points out that Japhug Rgyalrong maintains medial -r- in at least one cognate where Chinese has the vowel \*ə.<sup>4</sup>

Chi. 參 *syim* < \*srəm (38-29a) 'rhizome', Japhug Rgy. *tx-zrym* 'root'<sup>5</sup>

This example suggests that Handel's proposal may not be correct. Jacques (2015: 219-220) offers three explanations for the attested correspondences: (1). Languages other than Chinese share a change \*sr- to s-, conditioned by a following inherited \*-a- and possibly also \*-o-. (2). Chinese innovates medial \*-r- through infixation (cf. Baxter & Sagart 2014: 57-58). (3). Current reconstructions of Old Chinese include too many instances of medial \*-r-. Jacques remains agnostic among these three possibilities.

2d. Considering Tibetan correspondences of those Chinese words that have an unexpected medial \*-r- permits further contemplation of Jacques' third option. In most cases Tibetan exhibits a complex onset with a སྲེལ་འཇུག་ *snon-hjug* or མགོ་ཅན་ *mgo-can* consonant, i.e. s-, d, l-, or g-. The overall pattern of unexpected Chinese \*-r- corresponding to Tibetan complex onsets entitles one to speculate that Chinese had some 'pre-initial' in these words that conditioned the same effects in Middle Chinese as Old Chinese \*-r-. Because it is unlikely that specifically \*-r- was the 'pre-initial' in all of these words, it is more suitable to reconstruct \*R- as a convention to mean 'an indeterminate initial of a complex onset which bears the same effects as \*-r-'. Particularly based on the comparison of Tibetan གསོད་ *gsod* 'kill' with Chinese 殺 *sreat* < \*srat (21-29d), Pulleyblank posits \*ks- as an origin of Middle Chinese 生 *sr-* (1965: 206-207). Gong similarly proposes \*rsat as the origin of Chinese 殺 *sreat* < \*srat (21-29d) (2002[2001]: 171).

Chi. 殼 *khaewk* < \*[k<sup>h</sup>]<sup>f</sup>rok < \*R[k<sup>h</sup>]<sup>f</sup>ok (11-03a) 'hollow shell, hollow', Tib. སྐོག་ *skog* 'shell, peel'

Chi. 銀 *ngin* < \*ŋrə[n] < \*Rŋə[n] (33-01k) 'silver', Tib. དྲིལ་ *diul*

Chi. 貧 *bin* < \*(Cə.)[b]rə[n] < \*(Cə.)R[b]ə[n] (33-30v) 'poor', Tib. དབུལ་ *dbul*

Chi. 虎 *xuX* < \*q<sup>h</sup>ra? 'tiger' < \*Rq<sup>h</sup>a? (01-18b), Tib. སྐྱུ་ *stag*

4 Jacques (2015) also proposes Chi. 色 *srik* < \*srək (05-31a) 'color, sex, shame' cognate to Japhug Rgy. *tx-zraʔ* 'shame', but has more recently decided that the semantics are not sufficiently compelling (*per litteras* 27 January 2018).

5 Jacques (2017) further proposes Tib. གཤམ་ *gšam* 'base, underpart' as a cognate.

Chi. 膚 *pju* < \*pra < \*Rpa (01-51g) 'skin', Tib. ལཔགས་ *lpags*

Chi. 殺 *sreat* < \*srat < \*Rsat (21-29d) 'kill', Tib. √sad (pres. གསོད་ *gsod*)

Chi. 三 *sam* < \*srum < \*Rsum (38-30a) 'three', Tib. གསུམ་ *gsum*

2e. There are two comparisons, in which the Tibetan cognates have a simplex onset; these are apparent counterexamples to Pulleyblank's conjecture.

Chi. 蝨 *srit* < \*sri[k] (29-35a) 'louse', Tib. སྒྲིག་ *śig*

Chi. 沙 *srae* < \*s<sup>ʰ</sup>raj (18-15a) 'sand', Tib. ས་ *sa* 'earth'

In the first of these apparent exceptions, the Japhug Rgyalrong cognate *zruy* 'louse' confirms the Chinese onset \*sr- is original. One may suggest that Tibetan underwent a change \*sr- > ś- conditioned by the vowel -i-. Because the change \*sr- > ś- preceded Dempsey's law \*-eŋ > -iŋ), Tib. སྒྲི་མོ་ *sriñ-mo* < \*sreñ-mo 'sister of a man' avoided the former change (cf. Chi. 甥 *sraeng* < \*s.reŋ [09-25g] 'son-in-law'). I have no explanation for the correspondence seen in 'sand'.

**3. Burmish sibilant correspondences.** I am unable to dispel this miasma and limit myself to presenting the evidence organized according to the proto-Burmish outcome.

3a. \*s-:

Bur. འཁོར་ *sak* < \*sak 'breath', Lashi *səʔH*, Chi. 息 *sik* < \*sək (05-29a)

Bur. འཁོར་ *sac* < \*sik 'tree', Lashi *sə:kH*, Tib. སྒྲི་ *śiñ*, Chi. 薪 *sin* < \*si[ŋ] 'firewood' (32-33n)

Bur. འཚོ་ལྔ་ *asaññh* < \*siŋh 'liver', Lashi *səŋH*, Tib. མཚོན་ *mčhin* < \*m-sin (?), Chi. 辛 *sin* < \*sin (32-33a) 'pungent, painful'

Bur. འདྲེ་ *sumh* < \*sumh 'three', Lashi *səmH*, Tib. གསུམ་ *gsum*, Chi. 三 *sam* < \*srum (38-30a)

3b. \*ʔs-:

Bur. འཁོར་ *sak* < \*ʔsak 'life', Lashi *-ʔsakH*, Tib. སྒྲོག་ *srog*

Bur. འཁོར་ *sat* < \*ʔsat 'kill', Lashi *ʔsa:tH*, Tib. √sad (pres. གསོད་ *gsod*), Chi. 殺 *sreat* < \*srat (21-29d)

Bur. འདི་ *si* < \*ʔsi? 'know', Lashi *ʔse:X*, Tib. སེས་ *śes*

3c. \*ś-:

WBur. འཕུ་ *sok* < \*śuk 'drink', Lashi *śu:kH*, Chi. 嗽 *sraewk* < \*s<sup>ʰ</sup>rok (11-21o) 'suck, inhale'

3d. \*ʔś-:

Bur. འཕྱ་ *phyam* 'otter', Lashi *ʔśam*, Tib. སྐམ་ *sram*, Chi. 獭 *that* < \*ʔ<sup>ʰ</sup>at (21-24i)

3e. For three words it is not currently possible to unambiguously determine the proto-Burmish initial as Lashi cognates are unavailable, and other languages (e.g. Atsi) do not maintain

pre-glottalized sibilants.

Bur. འ sai < \*sai 'sand', Atsi se<sup>1</sup>, Tib. ས sa 'earth', Chi. 沙 srae < \*s<sup>h</sup>raj (18-15a) 'sand'

Bur. འ: siḥ 'separate', Atsi sai<sup>31</sup> 'differ' (?), Tib. གསིལ gsil 'break down'

Bur. འ sa 'titivate', Atsi sai<sup>55</sup> 'redo, repair', Tib. གསར gsar 'new', Chi. 鮮 sjen < \*ser (23-21a) 'fresh'

3f. Jacques proposes Burmese *rh-* < \*<sup>h</sup>r- as the cognate of Chinese \*sr- (2015), offering the following example.

Chi. 生 sraeng < \*N.sreŋ (09-25a) 'live, alive', Bur. ရှင် rhan 'alive'

This cognate may be valid, but its vowel correspondence is irregular.

3g. In two sibilant correspondence sets no Burmese cognate is available.

Tib. སྲིམོ sriṅ-mo < \*sreṅ-mo 'sister of a man', Chi. 甥 sraeng < \*s.reŋ (09-25g) 'sister's child'

Tib. √sam (pres. སེམས sems) < \*səm 'think', Chi. 心 sim < \*səm (38-31a) 'heart'

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